

The way in which we will use your information is set-out in our privacy notice at <https://www.shawbrook.co.uk/privacy-notice/>. If you would like a paper copy of our privacy notice, please telephone our Data Protection Officer on 01277 751 110 or write to us at the address set-out below.

| Section 1 - Applicant 1 details   |  |                  |  | Applicant 2 details   |  |                  |  |
|---|--|------------------|--|---|--|------------------|--|
| Title   |  | Surname          |  | Title   |  | Surname          |  |
| First name(s)   |  |                  |  | First name(s)   |  |                  |  |
| Nationality   |  | Country of birth |  | Nationality   |  | Country of birth |  |
| Date of birth   |  | Date of birth    |  | Date of birth   |  | Date of birth    |  |
| Are you resident for tax purposes in only the UK? Yes <input type="checkbox"/> No <input type="checkbox"/><br><small>(Excludes Channel Islands, Isle of Man and other crown dependencies)<br/>Please note Shawbrook provides savings accounts for individuals who are permanent UK residents and only liable to pay tax in the UK. Should you cease to be, you must notify us immediately.</small>  |  |                  |  | Are you resident for tax purposes in only the UK? Yes <input type="checkbox"/> No <input type="checkbox"/><br><small>(Excludes Channel Islands, Isle of Man and other crown dependencies)<br/>Please note Shawbrook provides savings accounts for individuals who are permanent UK residents and only liable to pay tax in the UK. Should you cease to be, you must notify us immediately.</small>  |  |                  |  |
| Are you an existing Shawbrook savings customer Yes <input type="checkbox"/> No <input type="checkbox"/><br><small>(If yes please provide us with your account number)</small>   |  |                  |  | Are you an existing Shawbrook savings customer Yes <input type="checkbox"/> No <input type="checkbox"/><br><small>(If yes please provide us with your account number)</small>   |  |                  |  |
| Account number  |  |                  |  | Account number  |  |                  |  |
| National Insurance Number   |  |                  |  | National Insurance Number   |  |                  |  |
| Home address  |  |                  |  | Home address  |  |                  |  |
| Postcode  |  |                  |  | Postcode  |  |                  |  |
| Time at home address  |  | years months     |  | Time at home address  |  | years months     |  |
| If less than 3 years, please give previous address below (YOU MUST SUPPLY 3 YEARS' ADDRESS HISTORY. PLEASE SUPPLY ADDITIONAL ADDRESS INFORMATION IF NECESSARY)  |  |                  |  |   |  |                  |  |
| Previous address  |  |                  |  | Previous address  |  |                  |  |
| Postcode  |  |                  |  | Postcode  |  |                  |  |
| Time at previous address  |  | years months     |  | Time at previous address  |  | years months     |  |
| Previous address  |  |                  |  | Previous address  |  |                  |  |
| Postcode  |  |                  |  | Postcode  |  |                  |  |
| Time at previous address  |  | years months     |  | Time at previous address  |  | years months     |  |
| Daytime number  |  | Mobile number    |  | Daytime number  |  | Mobile number    |  |
| Email   |  |                  |  | Email   |  |                  |  |
| Shawbrook will use this address to communicate important service messages and updates to you.   |  |                  |  |   |  |                  |  |
| <b>Employed status</b><br>Employed full-time <input type="checkbox"/> Employed part-time <input type="checkbox"/> Self-employed <input type="checkbox"/><br>Un-employed <input type="checkbox"/> Full-time education <input type="checkbox"/> Receiving a pension <input type="checkbox"/> Housewife or househusband <input type="checkbox"/><br>Other <input type="checkbox"/>   |  |                  |  | <b>Employed status</b><br>Employed full-time <input type="checkbox"/> Employed part-time <input type="checkbox"/> Self-employed <input type="checkbox"/><br>Un-employed <input type="checkbox"/> Full-time education <input type="checkbox"/> Receiving a pension <input type="checkbox"/> Housewife or househusband <input type="checkbox"/><br>Other <input type="checkbox"/>   |  |                  |  |
| <b>Employment type</b><br>Manager <input type="checkbox"/> Professional <input type="checkbox"/> Professional Support <input type="checkbox"/><br>Clerical Supervisor <input type="checkbox"/> Clerical <input type="checkbox"/> Sales Supervisor <input type="checkbox"/> Sales <input type="checkbox"/><br>Service Sector <input type="checkbox"/> Skilled Worker Supervisor <input type="checkbox"/> Skilled Worker <input type="checkbox"/> Semi-skilled Worker <input type="checkbox"/><br>Unskilled Worker <input type="checkbox"/> Armed Forces <input type="checkbox"/> |  |                  |  | <b>Employment type</b><br>Manager <input type="checkbox"/> Professional <input type="checkbox"/> Professional Support <input type="checkbox"/><br>Clerical Supervisor <input type="checkbox"/> Clerical <input type="checkbox"/> Sales Supervisor <input type="checkbox"/> Sales <input type="checkbox"/><br>Service Sector <input type="checkbox"/> Skilled Worker Supervisor <input type="checkbox"/> Skilled Worker <input type="checkbox"/> Semi-skilled Worker <input type="checkbox"/><br>Unskilled Worker <input type="checkbox"/> Armed Forces <input type="checkbox"/> |  |                  |  |
| Annual income   |  |                  |  | Annual income   |  |                  |  |
| Employer name   |  |                  |  | Employer name   |  |                  |  |

**Section 2 - Security questions**

When you contact Shawbrook about your personal savings you will be asked to answer some security questions so we can be confident we are dealing with you. If you are an existing customer and have previously provided answers to the security questions below, please proceed to section 3. If you would like to reset your security, please complete the section below.

**Applicant 1 details**

Surname of your favourite teacher at school?

A memorable date (NOT your date of birth/birth date of any children)

First name of your favourite historical character?

**Applicant 2 details**

Surname of your favourite teacher at school?

A memorable date (NOT your date of birth/birth date of any children)

First name of your favourite historical character?

**Section 3 - Your savings account (Please ensure you have read the Key Product Information document before submitting your application)**

|   |  |              |
|---|--|--------------|
| Which Personal Savings Account do you wish to open?<br>Product name | (Please quote the product name as it appears on the top of the Key Product Information document) | Issue number |
|---|--|--------------|

Amount that you wish to invest £

Source of Deposit

|  |   |  |                                      |                                       |   |
|--|---|--|--------------------------------------|---------------------------------------|---|
| Income from Employment <input type="checkbox"/>      | Sale of Property <input type="checkbox"/>       | Sale of Investments <input type="checkbox"/> | Inheritance <input type="checkbox"/> | Company Sale <input type="checkbox"/> | Divorce Settlement <input type="checkbox"/> |
| Savings / Investment Income <input type="checkbox"/> | Lottery / Gambling win <input type="checkbox"/> | Gift <input type="checkbox"/>                | Other <input type="checkbox"/>       |                                       |   |

How are you going to make your deposit?

Cheque deposit from my nominated account - (made payable to the account holder(s)). You can enclose a cheque with your completed application.

Electronic payment from my nominated account - this can be done once you have received the details of your new Shawbrook Personal Savings Account. Please note that Shawbrook Bank will never collect money from your nominated account. To make an electronic transfer into your new savings account contact your bank or building society where your nominated account is held and make a payment.

**Nominated Account Details** - Please provide your nominated account details. This is the account where your deposits must be made from and where we will pay any withdrawals and interest into. This must be a UK bank account that can make and accept electronic transfers and you or any joint applicant must be a named account holder.

Account name

Sort code       Account number

How do you want your interest paid?

Please note, if none or both boxes are ticked, interest will be added to your Shawbrook account.

Add to my Shawbrook Personal Savings Account

Send to my nominated account

**Section 4 - Marketing**

From time to time, we may wish to send you marketing information and newsletters about our own products and services by email and post. We may also wish to call you by telephone about our own products and services. If you do not want to hear from us in this way, you can opt-out by ticking the options below. If you do not opt-out now, you can contact us to tell us not to send further marketing at any time. Further details about how to do this, what our lawful reason is for this processing of your personal information and about your rights under data protection law, including in relation to marketing, are set out in the privacy notice referred to at the beginning of this application form.

I do not wish to hear from you by **email** in relation to marketing.  I do not wish to hear from you by **post** in relation to marketing.

I do not wish to hear from you by **telephone** in relation to marketing.

**DECLARATION - PLEASE ENSURE ALL OF THE BELOW TICK BOXES HAVE BEEN COMPLETED (FAILURE TO COMPLETE THIS WILL RESULT IN THE APPLICATION BEING RETURNED)**

- I/We have read and agree to be bound by the conditions of the Personal Savings Account as set out in the Key Product Information document, Terms and Conditions, and this application.
- I/We declare that the information provided on this form is true and accurate.
- I/We acknowledge that an account cannot be opened until Shawbrook Bank Limited is in receipt of satisfactory identification.
- I/We understand that interest is paid gross.
- I/We have received and have read the Financial Services Compensation Scheme Information Sheet and Exclusions List.
- I/We have read the Privacy Notice referred to at the beginning of this application form.

|                         |                         |
|-------------------------|-------------------------|
| Signature (applicant 1) | Signature (applicant 2) |
| <input type="text"/>    | <input type="text"/>    |
| Date                    | Date                    |
| <input type="text"/>    | <input type="text"/>    |
| Print name              | Print name              |
| <input type="text"/>    | <input type="text"/>    |

Please return completed application form to:  
 Shawbrook Bank Limited, Lutea House, Warley Hill Business Park, The Drive, Great Warley, Brentwood, Essex, CM13 3BE



Protected

**Shawbrook  
Bank**

# Financial Services Compensation Scheme Information Sheet

## Basic Information about the protection of your eligible deposits

|  |   |
|--|---|
| Eligible deposits in Shawbrook Bank Limited are protected by:                          | The Financial Services Compensation Scheme ("FSCS"). <sup>1</sup>   |
| Limit of protection:   | £85,000 per depositor per bank / building society / credit union  |
| If you have more eligible deposits at the same bank / building society / credit union: | All your eligible deposits at the same bank / building society / credit union are "aggregated" and the total is subject to the limit of £85,000. <sup>2</sup>   |
| If you have a joint account with other person(s):                                      | The limit of £85,000 applies to each depositor separately. <sup>3</sup>   |
| Reimbursement period in case of bank, building society or credit union's failure:      | 15 working days. <sup>4</sup>   |
| Currency of reimbursement:   | Pound sterling (GBP, £) or, for branches of UK banks operating in other EEA Member States, the currency of that State.  |
| To contact Shawbrook Bank Limited for enquiries relating to your account:              | Shawbrook Bank Limited<br>Lutea House,<br>Warley Hill Business Park,<br>The Drive,<br>Great Warley,<br>Brentwood,<br>Essex, CM13 3BE<br><br>Tel: 0345 266 6611<br>Email: <a href="mailto:savings@shawbrook.co.uk">savings@shawbrook.co.uk</a> |
| To contact the FSCS for further information on compensation:                           | Financial Services Compensation Scheme<br>10th Floor Beaufort House,<br>15 St Botolph Street,<br>London, EC3A 7QU<br><br>Tel: 0800 678 1100 or 020 7741 4100<br>Email: <a href="mailto:ICT@fscs.org.uk">ICT@fscs.org.uk</a>                   |
| More information:  | <a href="http://www.fscs.org.uk">http://www.fscs.org.uk</a>   |

## Additional information

### <sup>1</sup> Scheme responsible for the protection of your eligible deposit

Your eligible deposit is covered by a statutory Deposit Guarantee Scheme. If insolvency of your bank, building society or credit union should occur, your eligible deposits would be repaid up to £85,000 by the Deposit Guarantee Scheme.

### <sup>2</sup> General limit of protection

If a covered deposit is unavailable because a bank, building society or credit union is unable to meet its financial obligations, depositors are repaid by a Deposit Guarantee Scheme. This repayment covers at maximum £85,000 per bank, building society or credit union. This means that all eligible deposits at the same bank, building society or credit union are added up in order to determine the coverage level. If, for instance a depositor holds a savings account with £80,000 and a current account with £20,000, he or she will only be repaid £85,000.

In some cases eligible deposits which are categorised as "temporary high balances" are protected above £85,000 for six months after the amount has been credited or from the moment when such eligible deposits become legally transferable. These are eligible deposits connected with certain events including:

- (a) certain transactions relating to the depositor's current or prospective only or main residence or dwelling;
- (b) a death, or the depositor's marriage or civil partnership, divorce, retirement, dismissal, redundancy or invalidity;
- (c) the payment to the depositor of insurance benefits or compensation for criminal injuries or wrongful conviction.

More information can be obtained under <http://www.fscs.org.uk>

### <sup>3</sup> Limit of protection for joint accounts

In case of joint accounts, the limit of £85,000 applies to each depositor.

However, eligible deposits in an account to which two or more persons are entitled as members of a business partnership, association or grouping of a similar nature, without legal personality, are aggregated and treated as if made by a single depositor for the purpose of calculating the limit of £85,000.



Protected



**Shawbrook Bank**

# Financial Services Compensation Scheme Information Sheet

## <sup>4</sup> Reimbursement

The responsible Deposit Guarantee Scheme is the Financial Services Compensation Scheme, 10th Floor Beaufort House, 15 St Botolph Street, London, EC3A 7QU, Tel: 0800 678 1100 or 020 7741 4100, Email: ICT@fscs.org.uk. It will repay your eligible deposits (up to £85,000) within 15 working days from 1 January 2019 until 31 December 2020; within 10 working days from 1 January 2021 to 31 December 2023; and within 7 working days from 1 January 2024 onwards, save where specific exceptions apply.

Where the FSCS cannot make the repayable amount available within 7 working days, it will, from 1 June 2016 until 31 December 2023, ensure that you have access to an appropriate amount of your covered deposits to cover the cost of living (in the case of a depositor which is an individual) or to cover necessary business expenses or operating costs (in the case of a depositor which is not an individual or a large company) within 5 working days of a request.

If you have not been repaid within these deadlines, you should contact the Deposit Guarantee Scheme since the time to claim reimbursement may be barred after a certain time limit. Further information can be obtained under <http://www.fscs.org.uk>.

### Other important information

In general, all retail depositors and businesses are covered by Deposit Guarantee Schemes. Exceptions for certain deposits are stated on the website of the responsible Deposit Guarantee Scheme. Your bank, building society or credit union will also inform you of any exclusions from protection which may apply. If deposits are eligible, the bank, building society or credit union shall also confirm this on the statement of account.

## Financial Services Compensation Scheme Exclusions List

A deposit is excluded from protection if:

- (1) The holder and any beneficial owner of the deposit have never been identified in accordance with money laundering requirements. For further information, contact your bank, building society or credit union.
- (2) The deposit arises out of transactions in connection with which there has been a criminal conviction for money laundering.
- (3) It is a deposit made by a depositor which is one of the following:

- credit institution
- financial institution
- investment firm
- insurance undertaking
- reinsurance undertaking
- collective investment undertaking
- pension or retirement fund <sup>5</sup>
- public authority, other than a small local authority

For further information about exclusions, refer to the FSCS website at [www.FSCS.org.uk](http://www.FSCS.org.uk).

<sup>5</sup> Deposits by personal pension schemes, stakeholder pension schemes and occupational pension schemes of micro, small and medium sized enterprises are not excluded.